

02-07-02

PATENT

Atty. Docket No. 01 P 14623 US (8055-113)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner
for Patents
Washington, D.C. 20231

UTILITY APPLICATION FEE TRANSMITTAL

JC978 U.S. PTO
10/067703
02/05/02

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Enio L. Carpi; Bernhard Liegl; and Peter ThwaiteFor: MASK ALIGNMENT METHOD

Enclosed are:

☒ 6 page(s) of specification☒ 1 page(s) of Abstract☒ 3 page(s) of claims☒ 3 sheets of drawings ☒ formal ☐ informal☒ 3 page(s) of Declaration and Power of Attorney☒ An Assignment of the invention to Infineon Technologies North America Corp.**CERTIFICATION UNDER 37 C.F.R. 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 5, 2002 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL822652575US addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Juan C. Villar

(Type or print name of person mailing paper)



(Signature of person mailing paper)

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 780.00)**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Enio L. Carpi
Examiner Name	
Group Art Unit	
Attorney Docket No.	01 P 14623 US (8055-113)

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-2179**

Deposit Account Name **Siemens Corporation**

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
101	740	201	370	Utility filing fee	\$740.00
106	330	206	166	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
13	-20** = 0	\$18	\$0
Independent Claims	3** = 0	\$84	\$0
Multiple Dependent		\$280	\$0

**or number previously paid, if greater; For Reissues, see below

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	
105	130	205	85	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	480	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(a)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)**SUBMITTED BY**Name (Print/Type) **Stanton C. Braden**

Signature

Registration No. (Attorney/Agent) **32,556****Complete (if applicable)**Telephone **(516) 357-0091**Date **February 5, 2002**

☐ This application claims the benefit under 35 U.S.C. ' 119(e) of U.S. Provisional Application(s) No(s):

APPLICATION NO(S):

FILING DATE

/ _____
/ _____

☐ Certified copy of applications

Country

Appln. No.

Filed

from which priority under Title 35 United States Code, ' 119 is claimed
☐ is enclosed.

☐ will follow.

CALCULATION OF UTILITY APPLICATION FEE

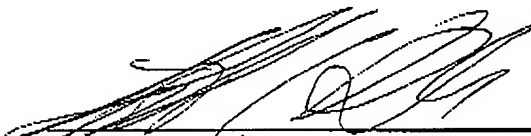
For	Number Filed	Number Extra	Rate	Basic Fee \$740.00
TOTAL CLAIMS	13	0	x 18 =	\$0
INDEPENDENT CLAIMS	3	0	x 84 =	\$0
<input type="checkbox"/> Multiple Dep. Claim	0		280	\$0
				TOTAL \$740.00

☐ Verified Statement of "Small Entity" Status Under 37 C.F.R. ' 1.27. Reduced fees under 37 C.F.R. ' 1.9(f) (50% of total) paid herewith \$.

*Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. ' 1.75(c).

- ☐ Check in the amount of \$ to cover the ☐ recording, ☐ filing fee(s) are attached.
- ☒ Charge \$ 740.00 filing fee and \$ 40.00 assignment fee to Deposit Account No. 19-2179. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. ' 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 19-2179. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 19-2179 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: February 5, 2002



Stanton C. Braden
Reg. No. 32,556

F. CHAU & ASSOCIATES, LLP
Suite 501
1900 Hempstead Turnpike
East Meadow, New York 11554
Tel. No. (516) 357-0091
Fax. (516) 357-0092